

10/03/01

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PTO/SB/50 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

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## REISSUE PATENT APPLICATION TRANSMITTAL

<b>Address to:</b>  <b>Assistant Commissioner for Patents</b> <b>Box Reissue</b> <b>Washington, DC 20231</b>	<b>Attorney Docket No.</b>	30545.11
	<b>First Named Inventor</b>	HAROLD O. TREECE
	<b>Original Patent Number</b>	6,196,311
	<b>Original Patent Issue Date</b> (Month/Day/Year)	MARCH 6, 2001
	<b>Express Mail Label No.</b>	EL418587208US

**APPLICATION FOR REISSUE OF:** ☒ **Utility Patent** ☐ **Design Patent** ☐ **Plant Patent**  
(Check applicable box)

APPLICATION ELEMENTS (37 CFR 1.173)	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (PTO/SB/56)</b> (Submit an original, and a duplicate for fee processing)	10. <input checked="" type="checkbox"/> <b>Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c).</b>
2. <input type="checkbox"/> <b>Applicant claims small entity status. See 37 CFR 1.27.</b>	11. <input type="checkbox"/> <b>Original U.S. Patent for surrender</b> <input type="checkbox"/> <b>Ribboned Original Patent Grant</b> <input type="checkbox"/> <b>Statement of Loss (PTO/SB/55)</b>
3. <input checked="" type="checkbox"/> <b>Specification and Claims in double column copy of patent format (amended, if appropriate)</b>	12. <input type="checkbox"/> <b>Foreign Priority Claim (35 U.S.C. 119) (if applicable)</b>
4. <input checked="" type="checkbox"/> <b>Drawing(s) (proposed amendments, if appropriate)</b>	13. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations</b>
5. <input checked="" type="checkbox"/> <b>Reissue Oath/Declaration (original or copy) (37 C.F.R. § 1.175) (PTO/SB/51 or 52)</b>	14. <input type="checkbox"/> <b>English Translation of Reissue Oath/Declaration (if applicable)</b>
6. <input type="checkbox"/> <b>Power of Attorney</b>	15. <input checked="" type="checkbox"/> <b>Preliminary Amendment</b>
7. <b>Original U.S. Patent currently assigned?</b> <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b> (If Yes, check applicable box(es))	16. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</b>
<input checked="" type="checkbox"/> <b>Written Consent of all Assignees (PTO/SB/53)</b>	17. <b>Other:</b> <b>Express Mail Certificate; Copy of Original Recorded Assignment</b> ..... .....
<input checked="" type="checkbox"/> <b>37 C.F.R. § 3.73(b) Statement (PTO/SB/96)</b>	
8. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table</b>	
9. <b>Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary)</b>	
a. <input type="checkbox"/> <b>Computer Readable Form (CFR)</b>	
b. <b>Specification Sequence Listing on:</b>	
i. <input type="checkbox"/> <b>CD-ROM (2 copies) or CD-R (2 copies); or</b>	
ii. <input type="checkbox"/> <b>paper</b>	
c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b>	


## 18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> <b>Customer Number or Bar Code Label</b> 000027683 <small>(Insert Customer No. or Attach bar code label here)</small>		or <input type="checkbox"/> <b>Correspondence address below</b>	
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<b>Country</b>	UNITED STATES OF AMERICA	<b>Zip Code</b>	75202-3789
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<b>NAME</b> (Print/Type)	WARREN B. KICE	<b>Registration No. (Attorney/Agent)</b>	22,732
<b>Signature</b>		<b>Date</b>	10/03/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) 30545.11		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 51	Total Claims (37 CFR 1.16(j))	(B) 51	**** 0	= x \$	=	or	x \$ 18 = 0.00	
(C) 6	Independent claims (37 CFR 1.16(i))	(D) 6	* 0	= x \$	=		x \$ 84 = 0.00	
Basic Fee (37 CFR 1.16(h)) \$							\$ 740.00	
Total Filing Fee \$						OR	\$ 740.00	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** 113	MINUS	** 51	* = 62	x \$	=	x \$ 18 = 1116.00	
Independent Claims (37 CFR 1.16(i))	*** 14	MINUS	***** 6	= 8	x \$	=	x \$ 84 = 672.00	
Total Additional Fee \$						OR	\$ 1788.00	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>08-1394</u> A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ <u>2528.00</u> to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;"><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <p><u>10/3/01</u> Date</p> </div> <div style="text-align: center;"> <p> Signature of Applicant, Attorney or Agent of Record</p> <p>WARREN B. KICE, REG. NO. 22,732 Typed or printed name</p> </div> </div>								

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Harold O. Treece

Serial No.: Reissue of US Patent No. 6,196,311

Filed: Herewith

For: UNIVERSAL CEMENTING PLUG

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Attorney Docket No.: 30545.11

Atten: Box Reissue

Commissioner for Patents

Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

Express Mail Number: EL418587208US

Date of Deposit: October 3, 2001

I hereby certify that the following papers and fee are attached: Reissue Patent Application Transmittal; Reissue Application Fee Transmittal Form; Copy of Issued Patent No. 6,196,311; Reissue Application Declaration by the Inventor; Reissue Application: Consent of Assignee; Statement of Non-Assignment; Statement Of Status/Support for All Changes to Claims Under 37 CFR 1.173(c); Information Disclosure Statement w/PTO 1449 Form; Preliminary Amendment; Copy of original recorded Assignment; check \$2528.00; and a Return Postcard are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Atten: Box Reissue, Commissioner for Patents, Washington, D. C. 20231.

**SANDRA KUBIN**

Typed or Printed Name

Sandra Kubin

Signature

October 3, 2001

Date

d-929671.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Harold O. Treece

Serial No.: Reissue of

US Patent No. 6,196,311

Filed: Herewith

For: UNIVERSAL CEMENTING PLUG

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Attorney Docket No.: 30545.11

**STATEMENT OF STATUS/SUPPORT FOR ALL CHANGES TO CLAIMS  
UNDER 37 CFR 1.173(c)**

Atten: Box Reissue  
Commissioner For Patents  
Washington, D.C. 20231

Dear Sir:

Claims 52-113 have been added to original patent claims 1-51 in the above-identified re-issue application based upon United States Patent Number 6,196,311.

Support in the patent specification and drawings for the added Claims 52 -113 is as follows:

**Claims**

52-113

**Support**

Col. 4, line 12 through Col. 6 line 56 and  
Figs. 1, 4, 5, and 6

Respectfully submitted,



Warren B. Kice  
Registration No. 22,732

Dated: 10/3/01

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Express Mail No.: EL418587208US Date of Deposit: Oct. 3, 2001

This paper and fee are being deposited with the U.S. Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and is addressed to the Box Reissue, Commissioner for Patents, Washington, D.C. 20231

**SANDRA KUBIN**

Name of person mailing paper and fee

  
Signature of person mailing paper and fee